

S/N 09/427,815

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	David Rossum	Examiner:	Andrew R. Graham
Serial No.:	09/427,815	Group Art Unit:	2644
Filed:	October 27, 1999	Docket:	2045.009US1
Title:	SAMPLE RATE CONVERTER HAVING DISTRIBUTED FILTERING		

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

DAVID ROSSUM

By his Representatives,

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Date 11/04/2005

By

Garth Vivier  
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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 7 day of November, 2005.

Name

KACIA LEE

Signature

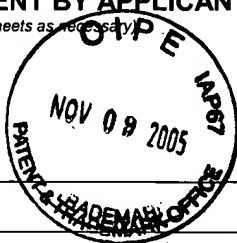
Kacia Lee

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary.)



Complete if Known

Application Number	09/427,815
Filing Date	October 27, 1999
First Named Inventor	Rossum, David
Group Art Unit	2644
Examiner Name	Graham, Andrew

Sheet 1 of 1

Attorney Docket No: 2045.009US1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-5,342,990	08/30/1994	Rossum, David	05/11/1992
	US-5,818,888	10/06/1998	Holmqvist, Peter B.	02/26/1997
	US-5,880,980	03/09/1999	Rothacher, Fritz M., et al.	09/30/1996
	US-5,892,694	04/06/1999	Ott, Stefan	05/01/1997
	US-6,360,239	03/19/2002	Laroche, Jean	01/13/1999

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
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**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		CROCHIERE, RONALD E., et al., "6.6 Sampling Rate Conversion Between Systems", <u>Multirate Digital Signal Processing</u> , Prentice-Hall, (1983), 283-286	
		CROCHIERE, RONALD E., et al., "Chapter 2: Basic Principles of Sampling and Sampling Rate Conversion", <u>Multirate Digital Signal Processing</u> , Prentice-Hall, (1983), 13-42	
		CROCHIERE, RONALD E., et al., "Chapter 5: Multistage Implementations of Sampling Rate Conversion", <u>Multirate Digital Signal Processing</u> , Prentice-Hall, (1983), 193-250	
		RABINER, LAWRENCE R., et al., "2.4.2 Decimation and interpolation of sampled waveforms", <u>Digital Processing of Speech Signals</u> , Prentice-Hall, (1978), 26-32	
		SMITH, III, J. O., "Comments on Sullivan Karplus-Strong Article", <u>Computer Music Journal</u> , (1990?), 10-11	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached